



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED  
FEB 27 2003  
TC 1700

Applicant: Doak et al. : Group Art Unit: 2822  
Serial No.: 09/887,777 : Examiner: P. Hassanzadeh  
Filed: June 22, 2001 :  
Title: Method and Apparatus for Preparing Nitride Semiconductor Surfaces

**CERTIFICATE OF MAILING BY EXPRESS MAIL**  
"Express Mail" mailing label number EL 919125506US

I hereby certify that the following correspondence is being deposited with the United States Postal Service as Express Mail:

1. Response to Official Action (16 pages);
2. Replacement pages 6-7 of specification (2 pages);
3. Combined Declaration and Power of Attorney (3 pages);
4. Letter to Official Draftsperson with one sheet of drawings (in duplicate);
5. Fee Transmittal for FY 2003 (1 sheet);
6. Petition for Extension of Time;
7. Check for \$373.00 for extension of time and extra claims fee; and
8. A return receipt postcard,

in an envelope addressed to:

Box Non-Fee Amendment  
Commissioner for Patents  
Washington, D.C. 20231

on

Date: 2/14/03

By: Suzanne Shields  
Suzanne Shields

GALLAGHER & KENNEDY, P.A.  
2575 East Camelback Road  
Phoenix, AZ 80516-9225  
Tel. No. (602) 530-8000  
Fax No. (602) 530-8500  
1068726

RECEIVED  
FEB 24 2003  
TECHNOLOGY CENTER 2600



# FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$37 373)

## Complete if Known

Application Number 09/887,777  
Filing Date 6/22/2001  
First Named Inventor Doak  
Examiner Name Hassanzadeh, Maryiz  
Art Unit 2822  
Attorney Docket No. 9138-0060

RECEIVED  
FEB 27 2003  
TECHNOLOGY CENTER 2800

## METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit Account Number 070135  
Deposit Account Name Gallagher & Kennedy, P.A.

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Credit any overpayments

☒ Charge any additional fee(s) during the pendency of this application

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	750	2001	375	Utility filing fee	
1002	330	2002	165	Design filing fee	
1003	520	2003	260	Plant filing fee	
1004	750	2004	375	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	

SUBTOTAL (1) (\$)

### 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

		Extra Claims		Fee from below	Fee Paid
Total Claims	<input type="text"/>	-20** =	<input type="text" value="14"/>	X <input type="text"/>	= <input type="text" value="126"/>
Independent Claims	<input type="text"/>	-3** =	<input type="text" value="1"/>	X <input type="text"/>	= <input type="text" value="42"/>
Multiple Dependent	<input type="text"/>				

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
1202	18	2202	9	Claims in excess of 20
1201	84	2201	42	Independent claims in excess of 3
1203	280	2203	140	Multiple dependent claim, if not paid
1204	84	2204	42	** Reissue independent claims over original patent
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) 168

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity - Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
1051	130	2051	65	Surcharge - late filing fee or oath
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet
1053	130	2053	130	Non-English specification
1812	2,520	2052	2,520	For filing a request for ex parte reexamination
1804	920*	2053	920*	Requesting publication of SIR prior to Examiner action
1805	1,840*	2054	1,840*	Requesting publication of SIR after Examiner action
1251	110	2251	55	Extension for reply within first month
1252	410	2252	205	Extension for reply within second month
1253	930	2253	465	Extension for reply within third month
1254	1,450	2254	725	Extension for reply within fourth month
1255	1,970	2255	985	Extension for reply within fifth month
1401	320	2401	160	Notice of Appeal
1402	320	2402	160	Filing a brief in support of an appeal
1403	280	2403	140	Request for oral hearing
1451	1,510	2451	1,510	Petition to institute a public use proceeding
1452	110	2452	55	Petition to revive - unavoidable
1453	1,300	2453	650	Petition to revive - unintentional
1501	1,300	2501	650	Utility issue fee (or reissue)
1502	470	2502	235	Design issue fee
1503	630	2503	315	Plant issue fee
1460	130	2460	130	Petitions to the Commissioner
1807	50	2807	50	Processing fee under 37 CFR 1.17(q)
1806	180	2806	180	Submission of Information Disclosure Stmt
8021	40	2801	40	Recording each patent assignment per property (times number of properties)
1809	750	2809	375	Filing a submission after final rejection (37 CFR 1.129(a))
1810	750	2810	375	For each additional invention to be examined (37 CFR 1.129(b))
1801	750	2801	375	Request for Continued Examination (RCE)
1802	900	2802	900	Request for expedited examination of a design application

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 205

## SUBMITTED BY

Name (Print/Type) Thomas D. MacBlair

Registration No. (Attorney/Agent)

24,583

(Complete if applicable)

Telephone 602 530-8088

Signature

Date

2/14/03

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.